

A

**Docket No.: YOR9-2000-0452**

Transmitted herewith for filing is the patent application of

**Inventor(s):** Anni Rosa Coden and Eric William Brown

For: SYSTEM, METHOD AND APPARATUS PROVIDING  
COLLATERAL INFORMATION FOR A VIDEO/AUDIO STREAM

Enclosed are:

**XXX** Specification (27 pps); Claims (6 pps); Abstract (1pp);

**XXX** 7 sheets of drawings:

**XXX** Declaration and Power of Attorney;

**XXX** An assignment of the invention to: **INTERNATIONAL BUSINESS MACHINES CORPORATION** including \$40.00 recordation fee and Assignment Recordation Form Cover Sheet;

\_\_\_\_ Information Disclosure Statement (with copies of patent);

Form - PTO-1449; \_\_\_\_\_

\_\_\_\_ Verified Statement Claiming Small Entity Status; and

**XXX** Priority of U.S. Provisional Patent Application Serial No. **60/218,966**, filed on **7/17/00** and U. S. Provisional Patent Application Serial No. **60/193,910** filed on **3/31/00** are claimed under 35 U.S.C. §119 (e).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	34 - 20 =	14	x \$18.00	\$252.00
Independent Claims	5 - 3 =	2	x \$78.00	\$156.00
Multiple Dependent Claim Fee		x \$260.00 = \$0.00		
<b>TOTAL FILING FEE</b>		<b>\$1118.00</b>		

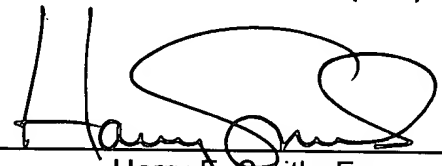
1/2 FILING FEE FOR SMALL ENTITY	N/A
---------------------------------	-----

**XXX** A check in the amount of \$ 1158.00 for the filing fee (\$1118) and assignment recordal fee (\$40) is enclosed.

**XXX** The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

Address all future communications to: **Harry F. Smith, Esq.**  
**Ohlandt, Greeley, Ruggiero & Perle, L.L.P.**  
**One Landmark Square, 9th Floor**  
**Stamford, Connecticut 06901-2682**  
**U.S.A.**  
**Telephone: (203) 327-4500**  
**Telefax: (203) 327-6401**

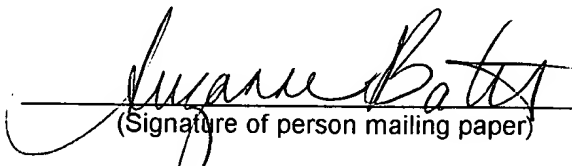
October 27, 2000  
Date of Signature

  
\_\_\_\_\_  
Harry F. Smith, Esq.  
Attorney for Applicant(s)  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
Registration No. 32,493  
(203) 327-4500

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL697804821US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on October 27, 2000.

Suzanne Bates  
(Typed name of person mailing paper)

  
\_\_\_\_\_  
(Signature of person mailing paper)

004201-1686960